

DWC ADMINISTRATIVE DIRECTOR'S 2007 AUDIT RANKING REPORT

Issued in accordance with Labor Code §129(e) and Title 8, California Code of Regulations, §10107.1(c)(3)

| Rank   | <b>Routine and Target Audits conducted pursuant to Labor Code Sections 129(b)(1)(2)(3), and 129.5(c)(1)(2)(3)</b><br>(Rankings from best to worst performers)<br><b>AUDIT SUBJECT / LOCATION</b> | <b>Unpaid Compensation</b><br><br>All Claim Files<br># Notices / \$ Value | <b>PAR</b><br><br>Standard<br>1.83201 | <b>FCA Stage 1</b><br><br>Standard<br>2.21982 | <b>FCA Stage 2</b><br><br>Standard<br>2.21982 |
|--|--|---|---------------------------------------|---|---|
| <p><i>The following audit subjects under the Profile Audit Review (LC 129) met or exceeded the PAR standard (1.83201 or less). All administrative penalties were not assessed pursuant to Labor Code Section 129.5(c)(1). The unpaid compensation found due injured workers within each claim file was ordered paid.</i></p> |  |   |                                       |   |   |
| 1  | Crawford & Company / Tarzana   | 0 / \$0.00  | 0.00000                               | n/a   | n/a   |
| 2  | Sedgwick Claims Management / Orange  | 1 / \$44.66   | 0.21173                               | n/a   | n/a   |
| 3  | City of Sacramento / Sacramento  | 1 / \$248.81  | 0.22509                               | n/a   | n/a   |
| 4  | Municipal Pooling Authority / Walnut Creek   | 0 / \$0.00  | 0.22917                               | n/a   | n/a   |
| 5  | Workers' Compensation Administrators / Santa Maria   | 0 / \$0.00  | 0.43597                               | n/a   | n/a   |
| 6  | State Compensation Insurance Fund / Eureka   | 4 / \$397.70  | 0.57331                               | n/a   | n/a   |
| 7  | TriStar Risk Management / San Diego  | 6 / \$12,145.62   | 0.58764                               | n/a   | n/a   |
| 8  | State Compensation Insurance Fund / Bakersfield  | 2 / \$2,254.32  | 0.61044                               | n/a   | n/a   |
| 9  | Sedgwick Claims Management / Roseville   | 3 / \$605.87  | 0.62654                               | n/a   | n/a   |
| 10   | Keenan & Associates / Redwood City   | 1 / \$132.00  | 0.65497                               | n/a   | n/a   |
| 11   | Republic Indemnity Insurance Company / San Diego   | 2 / \$476.41  | 0.69755                               | n/a   | n/a   |
| 12   | City of Anaheim / Anaheim  | 2 / \$50.49   | 0.77393                               | n/a   | n/a   |
| 13   | TriStar Risk Management / Rancho Cordova   | 5 / \$1,507.31  | 0.78256                               | n/a   | n/a   |
| 14   | GuideOne Insurance Company / Rocklin   | 1 / \$166.88  | 0.80810                               | n/a   | n/a   |
| 15   | Alaska National Insurance / San Francisco  | 6 / \$1,712.47  | 0.84459                               | n/a   | n/a   |
| 16   | Sedgwick Claims Management / Oakland   | 1 / \$2,105.72  | 0.86787                               | n/a   | n/a   |
| 17   | North Bay Schools Insurance Authority / Fairfield  | 0 / \$0.00  | 0.89795                               | n/a   | n/a   |
| 18   | Keenan & Associates / San Jose   | 4 / \$13,261.46   | 0.91078                               | n/a   | n/a   |
| 19   | State Compensation Insurance Fund / Riverside  | 6 / \$9,012.96  | 0.92830                               | n/a   | n/a   |
| 20   | State Compensation Insurance Fund / Stockton   | 8 / \$3,549.81  | 0.95738                               | n/a   | n/a   |
| 21   | Gallagher Bassett Services / Aliso Viejo   | 14 / \$7,143.41   | 1.01224                               | n/a   | n/a   |
| 22   | Innovative Claims Solutions / Rancho Cordova   | 5 / \$6,025.32  | 1.05477                               | n/a   | n/a   |
| 23   | Intercare Insurance Services / Pasadena  | 6 / \$2,442.03  | 1.07688                               | n/a   | n/a   |

## DWC ADMINISTRATIVE DIRECTOR'S 2007 AUDIT RANKING REPORT

Issued in accordance with Labor Code §129(e) and Title 8, California Code of Regulations, §10107.1(c)(3)

| Rank | Routine and Target Audits conducted pursuant to Labor Code Sections 129(b)(1)(2)(3), and 129.5(c)(1)(2)(3)<br>(Rankings from best to worst performers)<br>AUDIT SUBJECT / LOCATION | Unpaid Compensation                     | PAR                 | FCA Stage 1         | FCA Stage 2         |
|------|--|---|---------------------|---------------------|---------------------|
|      |  | All Claim Files<br># Notices / \$ Value | Standard<br>1.83201 | Standard<br>2.21982 | Standard<br>2.21982 |
| 24   | Garden Grove USD / Garden Grove  | 11 / \$11,199.05                        | 1.08717             | n/a                 | n/a                 |
| 25   | The Cities Group / Burlingame  | 5 / \$11,811.44                         | 1.09302             | n/a                 | n/a                 |
| 26   | City of Oceanside / Oceanside  | 5 / \$9,603.65                          | 1.11577             | n/a                 | n/a                 |
| 27   | County of Santa Clara / San Jose   | 13 / 1,275.68                           | 1.11806             | n/a                 | n/a                 |
| 28   | Broadspire / Fresno  | 8 / \$3,185.25                          | 1.14255             | n/a                 | n/a                 |
| 29   | Redwood Empire Schools Insurance Group / Windsor   | 2 / \$142.22                            | 1.14739             | n/a                 | n/a                 |
| 30   | St. Paul Travelers & Constitution / Diamond Bar  | 13 / \$11,822.18                        | 1.18753             | n/a                 | n/a                 |
| 31   | Intercare Insurance Services / Fresno  | 5 / \$573.29                            | 1.18883             | n/a                 | n/a                 |
| 32   | Intercare Insurance Services / Irvine  | 8 / \$2,983.26                          | 1.21996             | n/a                 | n/a                 |
| 33   | Sedgwick Claims Management / Pasadena  | 4 / \$795.47                            | 1.24492             | n/a                 | n/a                 |
| 34   | AIG Domestic Claims / San Ramon  | 8 / \$2,687.09                          | 1.24511             | n/a                 | n/a                 |
| 35   | State Compensation Insurance Fund / Sacramento   | 10 / \$1,956.87                         | 1.25247             | n/a                 | n/a                 |
| 36   | CNA Insurance / San Francisco  | 9 / \$1,132.11                          | 1.28667             | n/a                 | n/a                 |
| 37   | State Compensation Insurance Fund CMS / Monterey Park  | 7 / \$7,973.85                          | 1.29151             | n/a                 | n/a                 |
| 38   | GAB Robins Risk Management / Burbank   | 8 / \$13,755.43                         | 1.29816             | n/a                 | n/a                 |
| 39   | JT <sup>2</sup> Integrated Services / Ontario  | 4 / \$700.28                            | 1.32395             | n/a                 | n/a                 |
| 40   | Sedgwick Claims Management / Albany, NY  | 6 / \$6,913.37                          | 1.32662             | n/a                 | n/a                 |
| 41   | City of Los Angeles Dept of Water & Power / Los Angeles  | 4 / \$3,394.59                          | 1.33072             | n/a                 | n/a                 |
| 42   | Wausau Insurance / Beaverton, OR   | 12 / \$4,330.38                         | 1.33956             | n/a                 | n/a                 |
| 43   | Petroleum Casualty Company / Houston, TX   | 3 / \$5,330.75                          | 1.37353             | n/a                 | n/a                 |
| 44   | Sentry Insurance & Parker Services / Scottsdale, AZ  | 9 / \$3,570.65                          | 1.37433             | n/a                 | n/a                 |
| 45   | Springfield Insurance Company / Covina   | 16 / \$14,176.76                        | 1.40242             | n/a                 | n/a                 |
| 46   | Intercare Insurance Services / Orange  | 7 / \$21,209.55                         | 1.43633             | n/a                 | n/a                 |
| 47   | Sedgwick Claims Management / Los Angeles   | 10 / \$10,378.10                        | 1.46965             | n/a                 | n/a                 |
| 48   | Zurich North America / Schaumburg, IL  | 2 / \$157.70                            | 1.47797             | n/a                 | n/a                 |
| 49   | Sedgwick Claims Management / Ontario   | 10 / \$8,004.22                         | 1.48300             | n/a                 | n/a                 |
| 50   | Zurich North America / San Francisco   | 14 / \$13,225.49                        | 1.50654             | n/a                 | n/a                 |

DWC ADMINISTRATIVE DIRECTOR'S 2007 AUDIT RANKING REPORT

Issued in accordance with Labor Code §129(e) and Title 8, California Code of Regulations, §10107.1(c)(3)

| Rank  | Routine and Target Audits conducted pursuant to Labor Code Sections 129(b)(1)(2)(3), and 129.5(c)(1)(2)(3)<br>(Rankings from best to worst performers)<br>AUDIT SUBJECT / LOCATION | Unpaid Compensation                     | PAR                 | FCA Stage 1         | FCA Stage 2         |
|---|--|---|---------------------|---------------------|---------------------|
|   |  | All Claim Files<br># Notices / \$ Value | Standard<br>1.83201 | Standard<br>2.21982 | Standard<br>2.21982 |
| 51  | Fontana USD / Fontana  | 7 / \$3,358.52                          | 1.54116             | n/a                 | n/a                 |
| 52  | State Farm Insurance / Bakersfield   | 6 / \$18,108.54                         | 1.54421             | n/a                 | n/a                 |
| 53  | American Financial Group / Walnut Creek  | 11 / \$3,696.25                         | 1.61408             | n/a                 | n/a                 |
| 54  | Gallagher Bassett Services / Sacramento  | 9 / \$5,492.47                          | 1.61827             | n/a                 | n/a                 |
| 55  | Southland Claims Service / Garden Grove  | 15 / \$10,929.45                        | 1.62938             | n/a                 | n/a                 |
| 56  | Constitution State Services / Fort Smith, AR   | 6 / \$8,866.65                          | 1.67313             | n/a                 | n/a                 |
| 57  | Ford Motor Company / Rancho Cucamonga  | 2 / \$358.86                            | 1.67570             | n/a                 | n/a                 |
| 58  | CNA Insurance / Lake Oswego, OR  | 5 / \$1,196.87                          | 1.73324             | n/a                 | n/a                 |
| 59  | Chubb Group of Insurance Companies / Los Angeles   | 10 / \$9,713.21                         | 1.76307             | n/a                 | n/a                 |
| 60  | ClaimsQuest / Walnut   | 11 / \$5,960.67                         | 1.76686             | n/a                 | n/a                 |
| 61  | State Compensation Insurance Fund / Oxnard   | 8 / \$7,089.95                          | 1.77370             | n/a                 | n/a                 |
| 62  | ESIS / Woodland Hills  | 24 / \$25,045.82                        | 1.78307             | n/a                 | n/a                 |
| 63  | Chubb Services Corporation / Los Angeles   | 11 / \$4,092.90                         | 1.78809             | n/a                 | n/a                 |
| 64  | Frank Gates Service Company / El Dorado Hills  | 20 / \$11,489.29                        | 1.82229             | n/a                 | n/a                 |
| 65  | Pacific Gas & Electric Company / San Francisco   | 10 / \$28,845.03                        | 1.83082             | n/a                 | n/a                 |
| <p><i>Fourteen audit subjects under the Profile Audit Review (LC 129) failed to meet or exceed the PAR standard (1.83201 or less). The audit proceeded to the Full Compliance Audit Stage 1 [LC 129(b)(2)] and six of the fourteen met or exceeded the FCA standard (2.21982 or less). Administrative penalties pursuant to Labor Code 129.5(c)(2) were assessed and unpaid compensation found due injured workers within each claim file was ordered paid.</i></p> |  |   |                     |                     |                     |
| 66  | St. Paul Travelers / St. Paul, MN  | 24 / \$18,019.66                        | 1.90640             | 1.77660             | n/a                 |
| 67  | City of Compton / Compton  | 11 / \$4,848.81                         | 1.94943             | 1.76187             | n/a                 |
| 68  | Gallagher Bassett Services / Woodland Hills  | 29 / \$32,394.56                        | 2.17685             | 2.14465             | n/a                 |
| 69  | City of Pomona / Pomona  | 12 / 20,496.30                          | 2.27120             | 1.76443             | n/a                 |
| 70  | Santa Ana USD / Santa Ana  | 11 / \$9,734.75                         | 2.37842             | 2.06009             | n/a                 |
| 71  | Claimetrics (formerly Hallmark W/C Services) / Folsom  | 19 / \$8,749.52                         | 2.48686             | 1.87348             | n/a                 |

## DWC ADMINISTRATIVE DIRECTOR'S 2007 AUDIT RANKING REPORT

Issued in accordance with Labor Code §129(e) and Title 8, California Code of Regulations, §10107.1(c)(3)

| Rank | <b>Routine and Target Audits conducted pursuant to Labor Code Sections 129(b)(1)(2)(3), and 129.5(c)(1)(2)(3)</b><br>(Rankings from best to worst performers)<br><b>AUDIT SUBJECT / LOCATION</b>  | <b>Unpaid Compensation</b><br><br><b>All Claim Files</b><br><b># Notices / \$ Value</b> | <b>PAR</b><br><br><b>Standard</b><br><b>1.83201</b> | <b>FCA Stage 1</b><br><br><b>Standard</b><br><b>2.21982</b> | <b>FCA Stage 2</b><br><br><b>Standard</b><br><b>2.21982</b> |
|------|---|---|---|---|---|
|      | <p><i>Eight audit subjects under the Profile Audit Review (LC 129) failed to meet or exceed the PAR standard (2.21982 or less) and proceeded to the Full Compliance Audit Stage 1 [LC 129(b)(2)] where they failed to meet or exceed the FCA standard (2.21982 or less). The audit then extended to the Full Compliance Audit Stage 2 [LC 129(b)(2)] for a comprehensive and detailed review of the audit subject's performance. Administrative penalties pursuant to Labor Code 129.5(c)(3) were assessed and unpaid compensation found due injured workers within each claim file was ordered paid.</i></p> |   |   |   |   |
| 72   | Robert's Transport / Corona   | 36 / \$30,498.04  | 2.44179   | 2.61232   | 3.15686   |
| 73   | State Compensation Insurance Fund (HCO) / Santa Ana   | 12 / \$8,565.84   | 2.53171   | 2.47284   | 2.42348   |
| 74   | Corporate Claims Management / Chesterfield, MO  | 1 / \$146.31  | 2.74480   | 2.74480   | 2.74480   |
| 75   | Weyerhaeuser / Sacramento   | 18 / \$70,988.33  | 3.73159   | 3.24886   | 6.64534   |
| 76   | Berkley Risk & Insurance Services / Novato  | 7 / \$9,924.94  | 4.46102   | 4.83270   | 5.12014   |
| 77   | Sentry Insurance Company & Parker Services / Irvine   | 39 / \$66,312.49  | 7.08282   | 5.48815   | 4.85493   |
| 78   | Balboa Insurance / Irvine   | 1 / \$1,083.63  | 14.92266  | 14.92266  | 14.92266  |
| 79   | Metro Risk Management / Wilmington  | 1 / \$2,045.91  | 25.39819  | 25.39819  | 25.39819  |