

**State of California, Department of Industrial Relations
Division of Workers' Compensation
Workers' Compensation Information System (WCIS)**

Table 9: FROI and SROI Data Summary, by Year of Injury, 2000 - 2015

TABLE	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	TOTAL
TABLE 10 - FROI, TOTAL REPORTED INJURIES	757,695	962,480	923,905	878,231	832,366	780,321	755,706	726,470	675,455	602,121	602,732	594,255	604,743	603,452	619,360	606,792	11,526,084
TABLE 11 - SROI, TOTAL REPORTED MEDICAL ONLY	176,465	290,375	284,841	241,464	254,887	251,988	236,421	220,228	196,924	188,084	203,819	208,475	203,555	204,712	225,948	206,212	3,594,398
TABLE 12 - SROI, TOTAL REPORTED INDEMNITY	115,596	216,333	217,384	211,644	183,321	162,018	152,150	147,606	140,091	125,825	129,147	127,249	128,673	126,043	122,635	111,798	2,417,513
TABLE 12a - SROI Indemnity Claims, No Medical	25,395	35,304	30,881	34,401	31,148	27,648	36,390	45,320	39,170	29,391	27,463	24,855	24,648	23,525	24,259	27,895	487,693
TABLE 12b - SROI Indemnity Claims, With Medical	90,201	181,029	186,503	177,243	152,173	134,370	115,760	102,286	100,921	96,434	101,684	102,394	104,025	102,518	98,376	83,903	1,929,820
TABLE 13 - TOTAL DENIED CLAIMS	28,629	47,975	53,598	58,209	54,848	53,647	53,351	56,751	57,332	57,833	58,368	60,113	60,304	62,916	64,128	62,233	890,235
TABLE 14 - TOTAL OTHER CLAIMS (NOC)	437,005	407,797	368,082	366,914	339,310	312,668	313,784	301,885	281,108	230,379	211,398	198,418	212,211	209,781	206,649	226,549	4,623,938

First Report of Injury (FROI) -- Injured workers' claims based upon the reported calendar year of injury from 2000 - 2015 using DN 31 (date of injury). These are unique counts of Jurisdiction Claim Numbers (DN5) processed in the Workers' Compensation Information System (WCIS).

Subsequent Report of Injury (SROI), Medical Only -- These are claims with the following benefit type codes (DN 95 - Paid To Date/Reduced Earnings/Recoveries): Payments to Physicians (350), Hospital Cost (360), Other Medical Cost (370), Unallocated Prior Medical (440), Compromised Medical (501), Pharmaceutical (450), and Physical Therapy (460). Medical-only SROI counts exclude both denials and SROI claims with indemnity payments (\$ > 0). Medical-only claims include those with reported benefit payments (\$ > 0).

Subsequent Report of Injury (SROI), Indemnity -- These claims are defined as those with the following benefit type codes (DN 85 - Payment/Adjustment):

- **Specific Permanent Disability (PD) Claims** -- Permanent Total (020), Permanent Total Supplemental (021), Permanent Partial Scheduled (030), Permanent Partial Unscheduled (040), Permanent Partial Disfigurement (090), and Fatal (010);
- **Specific Temporary Disability (TD) Claims** -- Temporary Total (050), Temporary Total Catastrophic (051), Temporary Partial (070), Employers Liability (080), and Employer Paid (240);
- **Supplemental Job Displacement Benefit (SJDB) Claims** -- Vocational Rehabilitation Maintenance (410); Vocational Rehabilitation Evaluation Paid to Date (380), Vocational Rehabilitation Education Paid to Date (390), and Other Vocational Rehabilitation Paid to Date (400); and
- **Compromised Indemnity Claims** -- Unspecified (500), Fatal (510), Permanent Total (520), Permanent Total Supplemental (521), Employer Paid (524), Permanent Partial Scheduled (530), Permanent Partial Unscheduled (540), Vocational Rehabilitation Maintenance (541), Temporary Total (550), Temporary Total Catastrophic (551), Temporary Partial (570), Employers Liability (580), and Permanent Partial Disfigurement (590).
- **NOTE** -- The italicized codes included in the lists above should no longer be sent to the WCIS. Examples are: Temporary Total Catastrophic (051) and (551); Employers Liability (080) and (580); As of 1/1/2005, Partial Unscheduled (040) and (540); As of 1/1/2009, Vocational Rehabilitation Maintenance (410) and (541).

SROI Indemnity, No Medical -- Medical--These indemnity claim counts (Table 12a) exclude those with medical claims and denied claims, and include claims with benefit payments (\$ > 0).

SROI Indemnity, With Medical -- These indemnity claim counts (Table 12b) include those claims with benefit payments (\$ > 0) and with medical costs, and exclude denials defined under Table 13.

Denied Claims -- The aggregate monthly count of denied claims is by year of injury. Claims based on the earliest denial date (DN 3 - MTC_DATE) and SROI transaction record (DN 2 - Maintenance Type Code), ie. MTC = '04' (Denial).

Other Claims, Not Otherwise Classified (NOC) -- These are reported FROI claims that are not included in the categories of SROI Medical Only, SROI Indemnity, and Denials (Tables 11 thru 13). In other words, only a FROI has been reported for that claim.

A significant amount of variation across years results from noncompliance and late reporting of claims. DWC believes that its database is representative of claims in California's workers' compensation (WC) industry.